

Dear Investor,

Subject: -Request for Updating the KYC details

Ref : SEBI Circular No. SEBI/HO/MIRSD/MIRSD RTAMB/P/CIR/2021/655 DATED 3RD NOV 2021

I/We, refer to the above circular issued by SEBI that mandates all the listed companies to record the **PAN, Nomination, KYC details** of all the shareholders and **Bank Account details** of first holder. This is applicable for all the security holders in physical mode.

The salient features and requirements of the circular are as follows:

- A) Non – updation of KYC - Folios : wherein any ONE of the cited details/documents (i.e PAN, Bank Details, Nomination) are not available on or after April 01, 2023, shall be frozen as per SEBI circular.**

Notes:

- 1) The securities in the frozen folios shall be:
 - Eligible to lodge any grievance or avail service request from the Registrar and Transfer Agent (RTA) only after furnishing the complete documents / details as aforesaid.
 - Eligible for any payment including dividend, interest or redemption payment only through electronic mode upon complying with the above stated requirements.
 - 2) a) The relevant formats for Nomination and Updation of KYC details viz; Forms ISR 1, ISR-2, ISR-3, SH-13, SH-14 and SEBI circular are available on the RTA website as mentioned below;
<https://www.linkintime.co.in> – Resources – Downloads – General - Formats for KYC.
 - b) Original cancelled cheque leaf bearing the name of the first holder failing which first security holder is required to submit copy of bank passbook / statement attested by the bank which is mandatory for registering the new bank details.
- B) Mandatory Linkage of PAN with Aadhar - As per the Central Board of Direct Taxes (CBDT) it is mandatory to link PAN with Aadhaar number for resident investors by March 31, 2022. Security holders who are yet to link the PAN with Aadhaar number are requested to get the same done before March 31, 2022. Post March 31, 2022, or any other date as may be specified by the CBDT, RTAs shall accept only valid PANs and the ones which are linked to the Aadhaar number. The folios in which PAN is / are not valid as on the notified cut-off date of **March 31, 2022, or any other date as may be specified by the CBDT, shall also be frozen.****

Note: - Security holders from Sikkim can provide self-attested copy of Aadhaar Card/Voter's Card/Driving License/Passport or any other identity proof as issued by the Govt.



SOBHA LIMITED

REGD & CORPORATE OFFICE : 'SOBHA', SARJAPUR - MARATHAHALLI OUTER RING ROAD, BELLANDUR POST, BANGALORE - 560103, INDIA
CIN: L45201KA1995PLC018475 | TEL : +91-80-49320000 | FAX : +9180 49320444 | www.sobha.com

In view of aforesaid, we request you to fill (blank spaces) the required details wherever applicable and submit the Investor Service Request Form (ISR-1), Nomination Form (SH-13) appended herewith, along with the required supporting documents (Self attested copy of PAN and Aadhar of securityholder, self-attested copy of PAN and Aadhar of Nominee) at the earliest. Further, for registration of Bank details, fill form ISR-2 and submit the same along with Banker's seal and signature on it along with a cancelled cheque.

You may use any ONE of the following modes for submission:

1. **In hard copy:** by furnishing original / photocopy(ies) of the relevant document duly self-attested with date to the RTA at the address at C 101, 247 Park, L.B.S. Marg, Vikhroli (West), Mumbai – 400083 and photocopy(ies) to the Company at SOBHA, Sarjapur-Marathahalli Outer Ring Road (ORR) Devarabisanahalli, Bellandur Post, Bangalore KA 560103.
2. **With e-sign:**
 - (a) In case your email is already registered with us (RTA), you may send the scanned copies of your KYC documents with **e-sign** to RTA at email-id: kyc@linkintime.co.in and to Company at investors@sobha.com . Kindly mention the email subject as “**KYC Updation - (Sobha Limited)-Folio No: 0000017**”
 - (b) Investors can also upload KYC Documents with e-sign on the website of RTA at <https://web.linkintime.co.in> - Investors Services – KYC Compliances.

Yours Faithfully
FOR SOBHA LIMITED



A handwritten signature in black ink, appearing to read "V Bhat".

VIGHNESHWAR G BHAT
COMPANY SECRETARY AND COMPLIANCE OFFICER

KYC FORM

To,

Link Intime India Private Limited.

C 101, 247 Park, L.B.S.Marg, Vikhroli (West), Mumbai - 400083.

Unit : ____ [COMPANY NAME] ____

Date: / /

Folio No: _____

No of Shares: _____

Serial No: _____

Dear Sir/Madam,

I/We, refer to the current status of the above-mentioned folio as provided by you in the following table:

Name of the Security holder(s)	PAN (A)	Specimen Signature (B)	Email ID (C)	Mobile No. (D)	Nominee Details (E)

Bank Details :

Name of the Bank	
Bank Account Number	
IFSC	MICR No :

I/We are forwarding herewith Investor Service Request Form ISR-1 along with the required supporting KYC documents for updation.

Signature(s) :

First Holder	Joint Holder -1	Joint Holder -2	Joint Holder -3
Aaa	Bbb	Ccc	-----

<div>Barcode (Normal/Speed)</div>	Postal No :	Serial No :
TTL + Name of the Holder		
_____ (Address 1)		
_____ (Address 2)		
_____ (Address 3)		
_____ (Address 4)		
City _____ PIN _____		
Unit Name :	Print Date :	


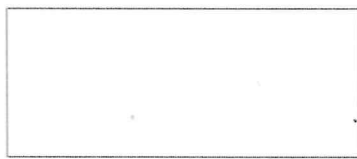



Form ISR – 2

(SEBI circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated November 03,2021)

Confirmation of Signature of Securities Holder by the Banker

Serial No :

as mentioned in KYC Form

1. Bank Name and Branch			
2. Bank contact details			
Postal Address			
Mobile/Tel number			
E-mail address			
3. Bank Account number		attach original cancelled cheque leaf	
4. Account opening date			
5. Account holder's PAN		Account Holder's Name	
i)		i)	
ii)		ii)	
iii)		iii)	
iv)		iv)	
6. Latest photograph of the account holder(s)			
i)- Holder Photo		ii)- Holder Photo	
iii)- Holder Photo		iv)- Holder Photo	
7. Account holder(s) details as per Bank Records			
a) Address			
b) Mobile/Tel number			
c) Email address			
d) Signature(s) of the Holder(s)			
i)		 Bank Manager's Signature and Bank Seal	
ii)			
iii)			
iv)			
-- (To be Mandatorily Filled by the Bank Official) --			
Place:		Name of the Bank Manager :	
Date:		Employee Code :	
Mobile / Tel no:		Email id :	

Form No. SH-13

Nomination Form

Pursuant to section 72 of the Companies Act, 2013 and rule
19(1) of the Companies (Share Capital and Debentures) Rules 2014]

Date:

To,

Name of the Company :

Address of the Company:

Serial No:

(As mentioned in KYC Form)

I/We, the holder(s) of the securities particulars of which are given hereunder, wish to make nomination and do hereby nominate the following persons in whom shall vest, all the rights in respect of such securities in the event of my/our death.

(1) PARTICULARS OF THE SECURITIES (in respect of which nomination is being made) :

Nature of Securities Tick ✓ as relevant	Folio No.	No. of Securities*	Certificate No.	Distinctive No(s) (From – To)
Equity / Debs/ Bonds				

(2) PARTICULARS OF NOMINEE/S — [Use photocopies of this blank nomination form in case of additional Multiple Nominations in the same folio]

Name of Nominee			
Address of Nominee		Date of Birth	{ }
Father's/Mother's/ Spouse's name		Occupation	
Relationship with the security holder		Nationality	
E-mail_id		Mobile No	

(3) IN CASE NOMINEE IS A MINOR —

Name of Guardian		Date of Birth	{ }
Address of Guardian		Date of attaining majority	{ }

Signature(s) as per Specimen recorded with the Company.

First Holder	Joint Holder -1	Joint Holder -2	Joint Holder -3
Signature			
Name			

Witness Details:

Name of Witness		Signature	
Address of Witness		Date	
	Pin:		

* Nomination will be registered for entire holding in the folio. In case of more than one nominee, the ratio should be furnished & separate form to be filled for each nominee.

Form SH-13 - INSTRUCTIONS	
[Please follow the instructions given below very carefully while filling in your Nomination request.]	
1.	Nomination can be made only by individuals applying/holding securities on their own behalf, singly or jointly. Non-individuals including Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. The nomination will be registered only when it is complete in all respects including the signature of (a) all registered holder(s) (as per specimen lodged with the Company) /RTA and (b) the complete details of the nominee and witness being furnished.
2.	A minor can be nominated by a holder(s) of securities and in that event the name and address of the Guardian shall be furnished by the holder(s).
3.	The nominee shall not be a trust, society, body corporate, partnership firm, Karta of Hindu Undivided Family, or a power of attorney holder. A Non-Resident Indian can be a nominee on re-patriable basis provided RBI approval granted to the nominee is registered with the Company / RTA.
4.	In case you have multiple folios, then you may take a photocopy of this Form.
5.	Nomination request would be considered for securities held in physical form only.
6.	The nomination will be valid, if the registration of Nomination is done before the demise of the holder.
7.	The nomination stands rescinded whenever the securities in the given folio are transposed /transmitted/ amalgamated, in such a scenario a new Nomination Form will have to be filled by the security holder(s).
8.	The Holder(s) can override (delete or change) an earlier nomination by executing a fresh Nomination Form SH-14 for which a fresh registration number will be allotted. The earlier nomination will automatically stand cancelled.
9.	In case the holder(s) desires to nominate more than one person as nominee, the ratio shall be mentioned and separate form to be filled for each nominee.
10.	Nomination registration number will be allotted upon successful registration.
11.	The Company / RTA will entertain claims of registered nominees only.
12.	The Nominee will be entitled to all the rights in the securities upon demise of all holders in the folio.
13.	Kindly note that the nomination being a legal document the same should be dated by the security holder. Furthermore, the date of execution on the Nomination Form should match with the date of witness, witnessing the document.
■ For Office Use Only	
■	Nomination Registration Number :
■	Date of Registration :
■	Checked and Signature of Employee :